

CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS

1. Definitions

"City" means the City of Las Vegas.

"City Council" means the governing body of the City of Las Vegas.

"Contracting Entity" means the individual, partnership, or corporation seeking to enter into a contract or agreement with the City of Las Vegas.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members.

2. Policy

In accordance with Resolution 79-99 and 105-99 adopted by the City Council, Contracting Entities seeking to enter into certain contracts or agreements with the City of Las Vegas must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract or agreement.

3. Instructions

The disclosure required by the Resolutions referenced above shall be made through the completion and execution of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting Entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted. An Officer or other official authorized to contractually bind the Contracting Entity shall sign and date the Certificate, and such signing shall be notarized.

4. Incorporation

This Certificate shall be incorporated into the resulting contract or agreement, if any, between the City and the Contracting Entity. Upon execution of such contract or agreement, the Contracting Entity is under a continuing obligation to notify the City in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the City of any material change may result, at the option of the City, in a default termination (in whole or in part) of the contract or agreement, and/or a withholding of payments due the Contracting Entity.

Block 1	<u>Contracting Entity</u>
Name	Poggemeyer Design Group, Inc.
Address	6960 Smoke Ranch Road, #110 Las Vegas, NV 89128
Telephone	702.255.8100
EIN or DUNS	34-1056617

Block 2	<u>Description</u>
Subject Matter of Contract/Agreement: Sidewalk Infills Area 6A & 1B	
RFP #:	

Block 3	<u>Type of Business</u>		
☐ Individual	☐ Partnership	☐ Limited Liability Company	☒ Corporation

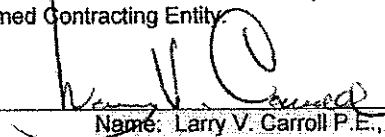
**CERTIFICATE – DISCLOSURE OF OWNERSHIP/PRINCIPALS
(CONTINUED)**

Block 4 Disclosure of Ownership and Principals			
In the space below, the Contracting Entity must disclose all principals (including partners) of the Contracting Entity, as well as persons or entities holding more than one-percent (1%) ownership interest in the Contracting Entity.			
	FULL NAME/TITLE	BUSINESS ADDRESS	BUSINESS PHONE
1.	See Attached Disclosure	6960 Smoke Ranch Road, 110 Las Vegas, NV 89128	702.255.8100
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

The Contracting Entity shall continue the above list on a sheet of paper entitled "Disclosure of Principals – Continuation" until full and complete disclosure is made. If continuation sheets are attached, please indicate the number of sheets: 1

Block 5 Disclosure of Ownership and Principals - Alternate	
If the Contracting Entity, or its principals or partners, are required to provide disclosure (of persons or entities holding an ownership interest) under federal law (such as disclosure required by the Securities and Exchange Commission or the Employee Retirement Income Act), a copy of such disclosure may be attached to this Certificate in lieu of providing the information set forth in Block 4 above. A description of such disclosure documents must be included below.	
Name of Attached Document: <u>2013 Principals of Poggemeyer Design Group, Inc.</u>	
Date of Attached Document: <u>December 2013</u>	Number of Pages: <u>1</u>

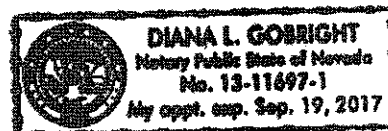
I certify, under penalty of perjury, that all the information provided in this Certificate is current, complete, and accurate. I further certify that I am an individual authorized to contractually bind the above named Contracting Entity.


 Name: Larry V. Carroll P.E., Managing Principal

Date: May 12, 2014

State of Nevada
County of Clark

Subscribed and sworn to before me this 12th day of
May ~~xxxxxxxx~~ 2014, by Larry V. Carroll.
Diana L. Gorbright
 Notary Public





**2013 PRINCIPALS OF
POGGE MEYER DESIGN GROUP, INC.**

NAME	TITLE	ADDRESS	PROFESSION	PROF. REGIS.	SHARES
ESOP					58.5%
Jack A. Jones	Chairman/ Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	451523 - OH 038169-R - PA	4.8%
Charlene M. Kerr	Principal Owner	10046 East Lake Road North East, PA 16428	Planner		4.8%
Larry V. Carroll	Vice Chairman/ Principal Owner	6960 Smoke Ranch Road Suite 110 Las Vegas, Nevada 89128	Engineer	018147 - NV	4.8%
David S. Alexander	Principal Owner	6960 Smoke Ranch Road Suite 110 Las Vegas, Nevada 89128	Engineer Cert Constr Mgr	014072 - NV 474577 2-2202 - UT 44512 - WA	1.0%
Linda M. Amos	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Management		1.0%
Michael Atherline	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	43597 - OH 43597 - NV	4.4%
Timothy J. Bock	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	61270 - OH	1.0%
Kenneth A. Maag	Principal Owner	935 Cleveland Avenue Defiance, Ohio 44904	Engineer	53316 - OH	1.0%
Randy A. Mielnik	Principal Owner	2262 North Monroe Street Monroe, Michigan 48162	Planner		1.0%
Douglas A. Nusser	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Management		1.0%
David E. Saneholtz	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	45072 - OH 10525 - NV	3.3%
Scott P. Schroeder	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	51475 - OH	1.0%
Thomas E. Staller	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	53562 - OH	0.5%
Paul Z. Tecpanecatl	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Planner		1.0%
Gregory N. Telecky	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	50617 - OH	4.4%
Richard E. Weaver	Principal Owner	935 Cleveland Avenue Defiance, Ohio 44904	Engineer	46957 - OH 22484 - NC 11006 - NV	4.4%
Phillip A. Whaley	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	50277 - OH	1.0%
Jeffrey T. Yoder	Principal Owner	1168 North Main Street Bowling Green, Ohio 43402	Engineer	61418 - OH 9700075 - IN	1.0%
					100.0%

