

CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS

1. Definitions

"City" means the City of Las Vegas.

"City Council" means the governing body of the City of Las Vegas.

"Contracting Entity," means the individual, partnership, or corporation seeking to enter into a contract or agreement with the City of Las Vegas.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members; (e) trust – the trustee and beneficiaries.

2. Policy

In accordance with Resolution 79-99 and 105-99 adopted by the City Council, Contracting Entities seeking to enter into certain contracts or agreements with the City of Las Vegas must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract or agreement.

3. Instructions

The disclosure required by the Resolutions referenced above shall be made through the completion and execution of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted. An Officer or other official authorized to contractually bind the Contracting Entity shall sign and date the Certificate, and such signing shall be notarized.

4. Incorporation

This Certificate shall be incorporated into the resulting contract or agreement, if any, between the City and the Contracting entity. Upon execution of such contract or agreement, the Contracting Entity is under a continuing obligation to notify the City in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the City of any material change may result, at the option of the City, in a default termination (in whole or in part) of the contract or agreement, and/or a withholding of payments due the Contracting Entity.

Block 1 Contracting Entity Brown and Caldwell Name 4425 Spring Mountain Road #225 Address Las Vegas, Nevada 89102 Telephone 702-938-4080 EIN or DUNS 79-957-9201	Block 2 Description Subject Matter of Contract/Agreement Design Services for the Vegas Valley Drive Sewer Rehabilitation Contract No.
Block 3 Type of Business ☐ Individual ☐ Partnership ☐ Limited Liability Company ☒ Corporation ☐ Trust ☐ Other:	

CERTIFICATE – DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)

Block 4 Disclosure of Ownership and Principals

In the space below, the Contracting Entity must disclose all principals (including partners) of the Contracting Entity, as well as persons or entities holding more than one-percent (1%) ownership interest in the Contracting Entity.

FULL NAME/TITLE		BUSINESS ADDRESS	BUSINESS PHONE
The Employee Stock Ownership Plan (ESOP) owns approximately 75 percent of the voting stock of the Company. The remainder is owned by approximately 67 employees. 15 currently own more than 1% each, (but less than 4% each). See Exhibit G-2 for listing of remaining owners.			
1.			
2.			
3.			
4.			
5.			
6.			
7.			

The Contracting Entity shall continue the above list on a sheet of paper entitled "disclosure of Principals – Continuation" until full and complete disclosure is made. If continuation sheets are attached, please indicate the number of sheets: 2.

Block 5 DISCLOSURE OF OWNERSHIP AND PRINCIPALS – ALTERNATE

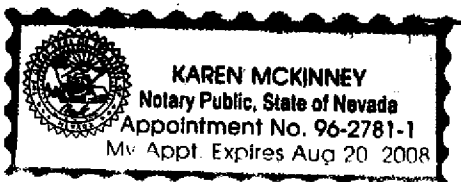
If the Contracting Entity, or its principals or partners, are required to provide disclosure (of persons or entities holding an ownership interest) under federal law (such as disclosure required by the Securities and Exchange Commission or the Employee Retirement Income Act), a copy of such disclosure may be attached to this Certificate in lieu of providing the information set forth in Block 4 above. A description of such disclosure documents must be included below.

Name of Attached Document: Exhibit G-2 – Brown and Caldwell Disclosure of Principles

Date of Attached Document: 4/7/2008

Number of Pages: 2

I certify under penalty of perjury, that all the information provided in this Certificate is current, complete and accurate. I further certify that I am an individual authorized to contractually bind the above named Contracting Entity



Mark O. Briggs

MARK O. BRIGGS, PE
MANAGING ENGINEER

April 7, 2008

Subscribed and sworn to before me this 7 day of

April, 2008

Karen McKinney
Notary Public

EXHIBIT G – CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)

Name	Title	Street Address	Business Phone
Craig A. Goehring (D)	Chairman, President and Chief Executive Officer	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
James Miller (D)	President, Chief Operating Officer	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Senior Vice Presidents (SVP)			
Robert S. Leichtner	SVP, General Counsel and Secretary	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Pervaiz Anwar	SVP, Business Consulting Practice	400 Exchange, Suite 100, Irvine, CA 92602	714-689-4812
Phyllis A. Brunner	SVP, NW Business Unit Manager	701 Pike Street, Suite 1200, Seattle, WA 98101	206-624-0100
Samuel A. Edmondson	SVP, SW Business Unit Manager	201 E Washington Street, Suite 500, Phoenix, AZ 85001	602-567-4000
Phillip K. Feeney	SVP, SW Business Unit Manager	41 Perimeter Center East, Suite 400, Atlanta, GA 30346	770-394-2997
Terry C. Gould	SVP	1025 Williamette Street, Suite 300, Eugene, OR 97401	541-686-9915
Garr M. Jones	SVP	9665 Chesapeake Drive, Suite 201, San Diego, CA 92123	858-514-8822
George M. Khoury	SVP	9665 Chesapeake Drive, Suite 201, San Diego, CA 92123	858-514-8822
Steve J. Krugel	SVP	701 Pike Street, Suite 1200, Seattle, WA 98101	206-624-0100
Peter A. Moffat	SVP, CSO Technical Director	5710 Commons Road, Syracuse, NY 13214	315-449-3010
Denny S. Parker	SVP, Director of Technology	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Edward D. Ricci	SVP	41 Perimeter Center East, Suite 400, Atlanta, GA 30346	770-394-2997
John E. Salo	SVP, Director of BCP, NW Business Unit Manager	13 Branch Street, Suite 208, Methuen, MA 01844	978-794-0336
Jack Warburton	SVP	701 Pike Street, Suite 1200, Seattle, WA 98101	206-624-0100
David M. Wilson	SVP, Director of Sales and Marketing	1697 Cole Boulevard, Suite 200, Golden, CO 80401	303-239-5400
Vice Presidents (VP)			
Scott Bash	VP	41 Perimeter Center East, Suite 400, Atlanta, GA 30346	770-394-2997
Vijay K. Bedi	VP	9665 Chesapeake Drive, Suite 201, San Diego, CA 92123	858-514-8822
Peter H. Bellows	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2386
Timothy Block	VP	1500 Norwest Tower, 55 E Fifth St, St. Paul, MN 55101	651-298-0710
Kerry J. Brough	VP	201 E Washington Street, Suite 500, Phoenix, AZ 85001	602-567-4000
Grace C. Chow	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Christopher Cleveland	VP	606 Columbia Street, NW, Suite 217, Olympia WA 98501	360-943-7525
James Courchaine	VP	13 Branch Street, Suite 208, Methuen, MA 01844	978-794-0336
Steven E. Esmond	VP	400 Exchange, Suite 100, Irvine, CA 92602	714-730-7600
William S. Faisst	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Robert F. Finn	VP	400 Exchange, Suite 100, Irvine, CA 92602	714-730-7600
Steven D. Freedman	VP	477 Congress Street, Portland, ME 04101	503-244-7055
V. Kenneth Harlow	VP	400 Exchange, Suite 100, Irvine, CA 92602	714-730-7600
Linda L. Henry	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2335
Charles W. Joyce	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2509
Philip J. Lagas	VP	201 E Washington Street, Suite 500, Phoenix, AZ 85001	602-567-4000
Thomas R. Marrou	VP	1415 Louisiana, Suite 2500, Houston, TX 77002	713-759-0999
Raymond N. Matasci	VP	2145 Wells Street, Suite 302, Wailuku, HI 96793	
Milford S. Merrill	VP	701 Pike Street, Suite 1200, Seattle, WA 98101	206-624-0100
Benjamin Montoya (DO)	President, CEO (Retired)	4361 Whispering Oaks Drive, Granite Bay, CA 95746	

EXHIBIT G – CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS (CONTINUED)

Name	Title	Street Address	Business Phone
Steven T. Morgan	VP	700 N. Stanton Street, Suite 210, El Paso, TX 79902	915-545-4400
Woodie M. Muirhead	VP	1099 Alakae Street, Suite 2400, Honolulu, HI 96813	808-523-8499
Charles F. Myette	VP	13 Branch Street, Suite 208, Methuen, MA 01844	978-794-0336
James V. Olson	VP	36 South State Street, Suite 1150, Salt Lake City, UT 84111	901-933-5122
Stuart Oppenheim	VP	8300 NW 33rd Street, Suite 100, Miami, FL 33122	305-418-4090
Bryan Paulson	VP	6500 SW Macadam, Suite 200, Portland, OR 97219	503-244-7005
Susan B. Pepper	VP, Director of Human Resources	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2538
Jeffrey Pinterich	VP	501 Great Circle Road, Suite 150, Nashville, TN 37228	615-255-2288
Charles W. Reese (DO)	President and CFO-Wulfsberg Reese Colvig & Firstman	300 Lakeside Drive, Oakland, CA 94612	
Michael L. Roeder	VP	201 E Washington Street, Suite 500, Phoenix, AZ 85001	602-567-4000
Perry L. Schafer	VP	2701 Prospect Park Drive, Rancho Cordova, CA 95670	
Jeffrey Sharon	VP	2674 Federated Blvd, Columbus, OH 43235	614-410-6144
Lloyd Slezak	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2295
Harold R. Somerset (DO)	CEO (Retired) C&H Sugar		
Richard W. Stahr, Jr.	VP	309 E Morehead Street, Suite 160, Charlotte NC 28202	704-358-7204
Dwight F. Stephenson	VP	309 E Morehead Street, Suite 160, Charlotte NC 28202	704-358-7204
Tracy M. Stigers	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2358
Eric J. Wahlberg	VP	201 North Civic Drive, Walnut Creek, CA 94596	925-210-2407
Nancy E. Walker	VP	701 Pike Street, Suite 1200, Seattle, WA 98101	206-624-0100
Robert A. Witzgall	VP	2701 Prospect Park Drive, Rancho Cordova, CA 95670	