

# CERTIFICATE DISCLOSURE OF OWNERSHIP/PRINCIPALS

**1. Definitions**

"City" means the City of Las Vegas.

"City Council" means the governing body of the City of Las Vegas.

"Contracting Entity," means the individual, partnership, or corporation seeking to enter into a contract or agreement with the City of Las Vegas.

"Principal" means, for each type of business organization, the following: (a) sole proprietorship – the owner of the business; (b) corporation – the directors and officers of the corporation; but not any branch managers of offices which are a part of the corporation; (c) partnership – the general partner and limited partners; (d) limited liability company – the managing member as well as all the other members; (e) trust – the trustee and beneficiaries.

**2. Policy**

In accordance with Resolution 79-99 and 105-99 adopted by the City Council, Contracting Entities seeking to enter into certain contracts or agreements with the City of Las Vegas must disclose information regarding ownership interests and principals. Such disclosure generally is required in conjunction with a Request for Proposals (RFP). In other cases, such disclosure must be made prior to the execution of a contract or agreement.

**3. Instructions**

The disclosure required by the Resolutions referenced above shall be made through the completion and execution of this Certificate. The Contracting Entity shall complete Block 1, Block 2, and Block 3. The Contracting entity shall complete either Block 4 or its alternate in Block 5. Specific information, which must be provided, is highlighted. An Officer or other official authorized to contractually bind the Contracting Entity shall sign and date the Certificate, and such signing shall be notarized.

**4. Incorporation**

This Certificate shall be incorporated into the resulting contract or agreement, if any, between the City and the Contracting entity. Upon execution of such contract or agreement, the Contracting Entity is under a continuing obligation to notify the City in writing of any material changes to the information in this Certificate. This notification shall be made within fifteen (15) days of the change. Failure to notify the City of any material change may result, at the option of the City, in a default termination (in whole or in part) of the contract or agreement, and/or a withholding of payments due the Contracting Entity.

<b>Block 1</b>	<b>Contracting Entity</b>
Kleinfelder	
Name	6380 S. Polaris Ave.
Address	Las Vegas, NV 89118
Telephone	(702) 736-2936
EIN or DUNS	19-477-9724

<b>Block 2</b>	<b>Description</b>
<b>Subject Matter of Contract/Agreement</b>	
Environmental Management Consulting Services	
RFP#	

<b>Block 3</b>	<b>Type of Business</b>
<input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Limited Liability Company <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Other:	



<u>Last Name</u>	<u>First Name, M</u>	<u>Ownership Percentage with KSOP Shares</u>	<u>Business Address</u>	<u>Business Phone</u>
Ries	Thomas C	1.06%	780 Chadbourne Road, Suite D Fairfield, CA 94534	(707) 429-4070
Baker	John A	1.10%	3077 Fite Circle Sacramento CA 95827	(916) 366-1701
Boll	Stephen S	1.12%	3077 Fite Circle Sacramento CA 95827	(916) 366-1701
Patton	Bartlett W	1.16%	8 Pasteur, Suite 190 Irvine, CA 92618	(949) 727-4466
Erbes	Russell E	1.19%	10044 Granite Hill Drive Parker, CO 80134	(303) 840-4571
Paddock	Gale L	1.37%	3077 Fite Circle Sacramento CA 95827	(916) 366-1701
Siegel	William C	1.40%	4815 List Drive, Unit 115 Colorado Springs, CO 80919	(719) 632-3593
Larson	Rick E	1.44%	5015 Shoreham Place San Diego, CA 92122	(858) 320-2000
Toy	Richard E	1.46%	2825 East Myrtle Street Stockton, CA 95205	(209) 948-1345
Peterson	Larry M	2.21%	2315 S. Cobalt Point Way Meridian, ID 83642	(208) 893-9700
Carey	Russell O	2.47%	5015 Shoreham Place San Diego, CA 92122	(858) 320-2000
Heinzen	Ronald T	3.57%	2825 East Myrtle Street Stockton, CA 95205	(209) 948-1345
Salontai	Geraid J	6.58%	5015 Shoreham Place San Diego, CA 92122	(858) 320-2000